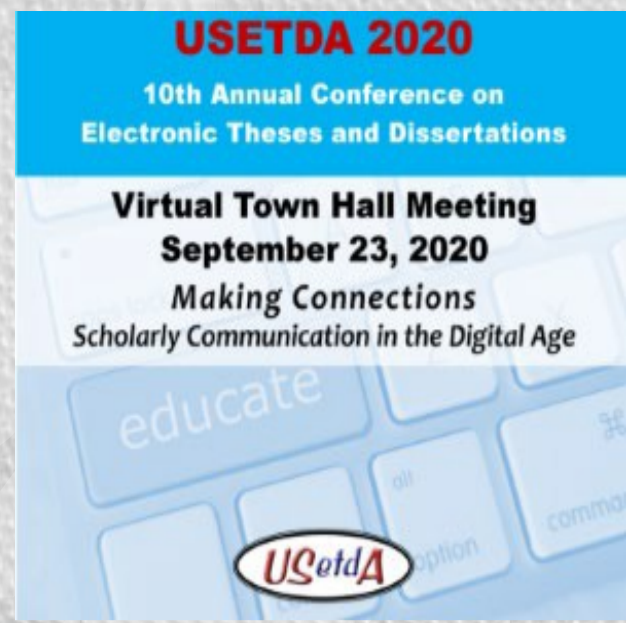


# Getting ETDs into ProQuest: A Comparative Analysis of the Online Submission Options Available in the Digital Age



## Abstract

In the past few years, a lot has change in the landscape of ETD submittal into the ProQuest Dissertations & Theses Global database (PQDT). New online submission options have become available, fees have been dropped, and gone are the days when copyright of the content is retained by the company.

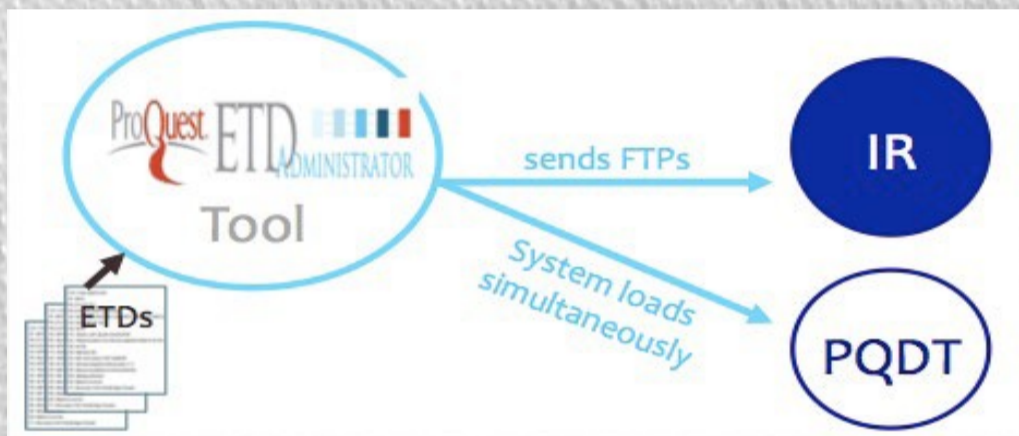
The following poster session provides an updated overview of these online options, with an exploratory look at the current workflow models employed for ETD submittal. Workflows used for dual submission of ETDs into the PQDT database and institutional repository (IR) will be discussed and a comparative analysis of them will be provided.

**\*\*More information at:**  
<https://doi.org/10.1016/j.acalib.2021.102429>  
 and <https://doi.org/10.6017/ital.v39i3.12197>

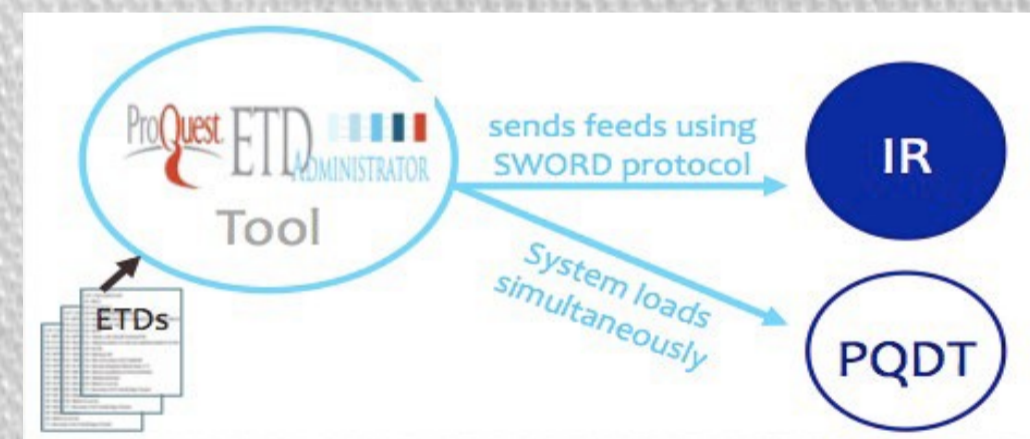
Marielle Veve  
 Metadata Librarian  
 University of North Florida

### ProQuest ETD Administrator tool

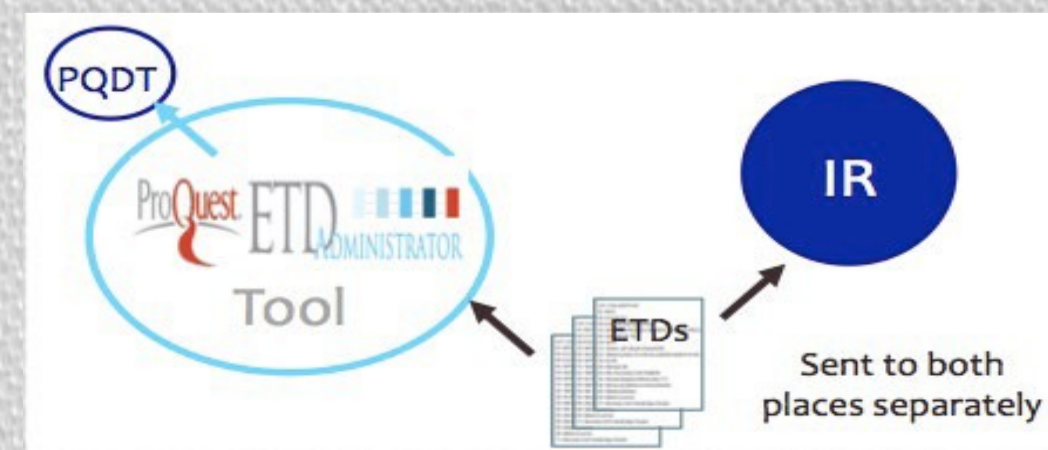
**Workflow #1:** ETD Adm. → sends FTPs to IR



**Workflow #2:** ETD Adm. → sends feeds to IR using SWORD

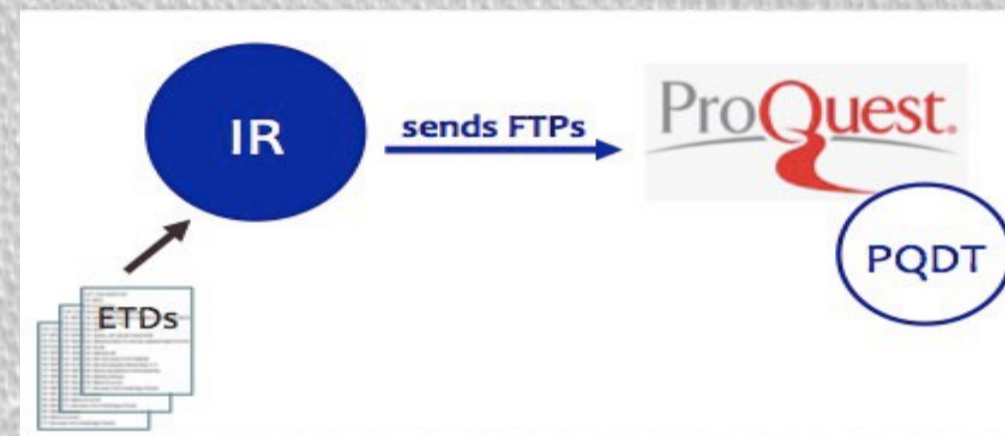


**Workflow #3:** Separate submission to ETD Adm. + IR

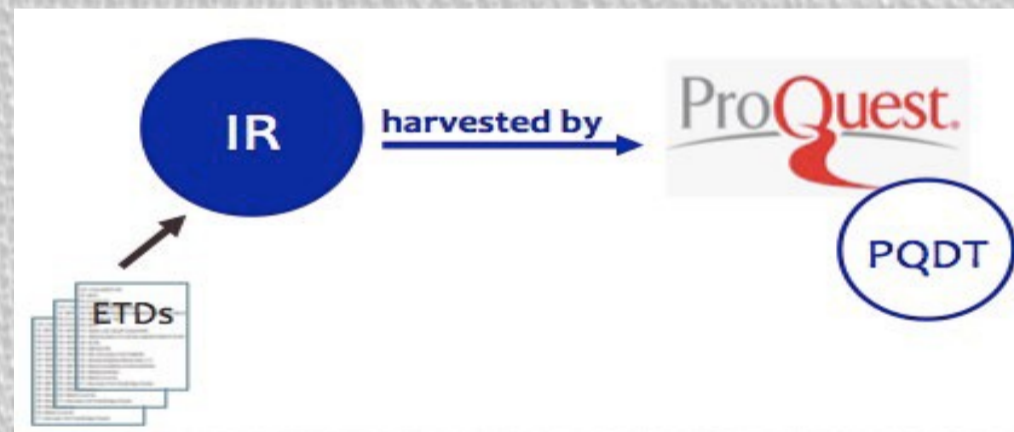


### IR First → ProQuest

**Workflow #1:** IR → sends FTPs to ProQuest

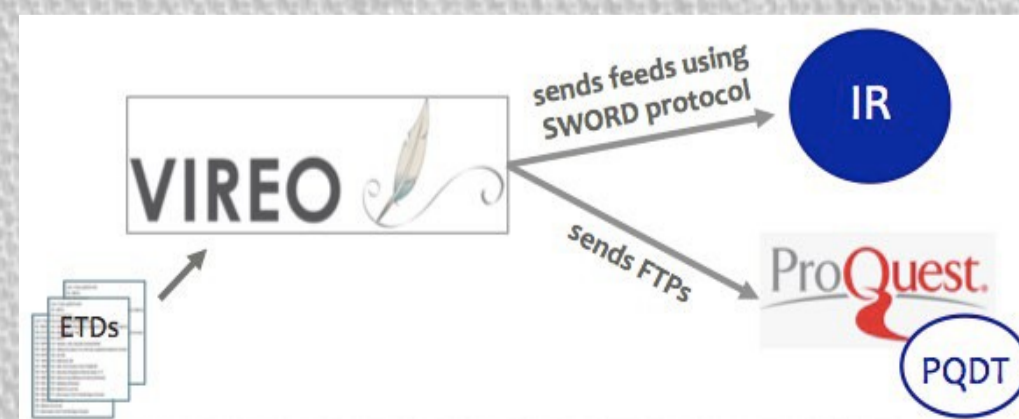


**Workflow #2:** IR → ETDs get harvested by ProQuest



### Open Source ETD Submission Tools

**Workflow #1:** VIREO tool → sends FTPs to ProQuest; feeds to IR using SWORD



PQ=ProQuest  
 IR=Institutional Repository  
 Lib=Library Univ=University

Workflow Model	System Requirements	How does it work?	Cost \$
PQ ETD Adm. Workflow #1	FTP software	ETDs are deposited 1 <sup>st</sup> in ETD Adm, then PQ converts them into zip packages (with PQ XML metadata & PDFs) which are sent to Lib/Grad School via FTPs. Lib can upload later into their IR after converting packages into IR XML metadata	Free because it uses the ETD Adm.
PQ ETD Adm. Workflow #2	IR software that supports the SWORD protocol to receive direct deposits from PQ	ETDs are deposited 1 <sup>st</sup> in ETD Adm, then PQ sends and deposits feeds straight into the IR using the SWORD protocol	Free because it uses the ETD Adm.
PQ ETD Adm. Workflow #3	None	In this option, ETDs are deposited in the ETD Adm and the IR separately	Free because it uses the ETD Adm.
IR 1 <sup>st</sup> → PQ Workflow #1	FTP software	ETDs are deposited 1 <sup>st</sup> in IR, then Univ FTPs zip packages with the ETDs (XML & PDFs) to PQ. Metadata in the zip packages needs to be converted from IR XML metadata to PQ XML before been sent	Free since 2019
IR 1 <sup>st</sup> → PQ Workflow #2	IR software that is OAI-PMH compliant	ETDs are deposited 1 <sup>st</sup> in IR, then PQ harvests ETDs automatically from the IR's OAI feed	Free and not dependent on a subscription to the PQDT database
Open Source ETD Submission Tools	For VIREO, an IR software that supports the SWORD protocol and FTP software	ETDs are deposited 1 <sup>st</sup> in the tool (e.g. VIREO), then tool sends FTPs exports to PQ & feeds to IR using SWORD protocol	Free because it uses VIREO